

RETAIL CLIENT FINANCIAL STATEMENT

FINANCIAL INFORMATION AND INCOME STATEMENT

Please provide financial information on your income level, financial assets, and financial liabilities. You have the right not to divulge this information to us, if you do not wish to do so. In that case we refer you to the section titled 'Election not to provide financial information'

Assets:		Liabilities:	
Cash		Overdrafts	
Properties		Mortgage	
Shares		Other Loans & Liabilities	
Bonds			
Managed Funds		Credit Cards	
Derivatives		Investment Loan	
Other Assets		Margin Lending	
Total Assets		Total Liabilities	
NET WORTH			

Total Annual Income [after tax]		Total Annual Income [after tax]	
What is your primary source of incomes? [please tick appropriate box]	<input type="checkbox"/> Business <input type="checkbox"/> Retirement Assets	<input type="checkbox"/> Salary <input type="checkbox"/> Pension	<input type="checkbox"/> Investments

ELECTION NOT TO PROVIDE FINANCIAL INFORMATION AND INCOME STATEMENT

<input type="checkbox"/>	I/we have reviewed the financial information you have requested and have elected not to provide this information
<input type="checkbox"/>	I/we understand and accept that Trend has not been able to undertake a full analysis of our personal and financial objectives, needs and circumstances
<input type="checkbox"/>	I/we understand and accept that the advice provided to us by Trend is limited and may not be appropriate for our personal and financial objectives, needs and circumstances
<input type="checkbox"/>	I/we have considered our personal circumstances and have determined that the financial services offered to us by Trend are appropriate for our personal and financial objectives, needs and circumstances

RISK PROFILE

Risk Profile: [please tick appropriate box]	<input type="checkbox"/> Conservative – risk must be very low and you are prepared to accept lower returns to protect capital <input type="checkbox"/> Moderately Conservative – you seek better than basic returns, but the risk must be low <input type="checkbox"/> Balanced – calculated risk will be acceptable to you to achieve good returns <input type="checkbox"/> Growth – prepared to accept higher volatility and moderate risk <input type="checkbox"/> High Growth – your investment choices are diverse, but carry with them a higher level of risk
Investment Strategy: [please tick appropriate box]	<input type="checkbox"/> Wealth Creation – growing funds to meet a long term goal <input type="checkbox"/> Tax Efficiency – total after tax returns are a priority <input type="checkbox"/> Retirement Planning – to manage your wealth to fund retirement objectives <input type="checkbox"/> Security of Capital – to maintain your current level of investments in secure environment <input type="checkbox"/> Protection from Inflation – to grow your investments in line with inflation <input type="checkbox"/> Debt Management – to minimize outstanding debt
Trading Experience: [please circle applicable level i.e. Novice – little or no experience, Moderate – some past experience and working knowledge, Sophisticated – considerable experience and expertise]	
Shares/Securities	<input type="checkbox"/> Novice <input type="checkbox"/> Moderate <input type="checkbox"/> Sophisticated
Futures	<input type="checkbox"/> Novice <input type="checkbox"/> Moderate <input type="checkbox"/> Sophisticated
Options	<input type="checkbox"/> Novice <input type="checkbox"/> Moderate <input type="checkbox"/> Sophisticated
Foreign Exchange	<input type="checkbox"/> Novice <input type="checkbox"/> Moderate <input type="checkbox"/> Sophisticated
Managed Products	<input type="checkbox"/> Novice <input type="checkbox"/> Moderate <input type="checkbox"/> Sophisticated
Fixed Interest	<input type="checkbox"/> Novice <input type="checkbox"/> Moderate <input type="checkbox"/> Sophisticated

Signature

Name

Date

Signature

Name

Date